

CERTIFICATION REGARDING CORRESPONDENT ACCOUNTS FOR FOREIGN BANKS

[OMB Control Number 1505-0184]

The information contained in this Certification is sought pursuant to Sections 5318(j) and 5318(k) of Title 31 of the United States Code, as added by sections 313 and 319(b) of the USA PATRIOT Act of 2001 (Public Law 107-56).

The undersigned financial institution, **Kreissparkasse Biberach**, hereby certifies as follows:

A. Correspondent Accounts Covered by this Certification:

This Certification applies to all accounts established for Kreissparkasse Biberach covered by Financial Institutions.

B. Physical Presence/Regulated Affiliate Status:

Kreissparkasse Biberach maintains a physical presence in any country. That means:

- Kreissparkasse Biberach has a place of business at the following street address: Zeppelinring 27-29, 88400 Biberach, where Kreissparkasse Biberach employs one or more individuals on a full-time basis and maintains operating records related to its banking activities.
- The above address is in Germany, where Kreissparkasse Biberach is authorized to conduct banking activities.
- Kreissparkasse Biberach is subject to inspection by Bundesanstalt für Finanzdienstleistungsaufsicht, Graurheindorfer Str. 108, 53117 Bonn, Germany, the banking authority that licensed Foreign Bank to conduct banking activities.

C. Indirect Use of Correspondent Accounts:

No Correspondent Account maintained by a Covered Financial Institution may be used to indirectly provide banking services to certain foreign banks. Kreissparkasse Biberach hereby certifies that it does not use any Correspondent Account with a Covered Financial Institution to indirectly provide banking services to any foreign bank that does not maintain a physical presence in any country and that is not a regulated affiliate.

D. Ownership Information:

Kreissparkasse Biberach has no owner(s) except as set forth below. For purposes of this Certification, owner means any person who, directly or indirectly, owns, controls, or has power to vote 25 percent or more of any class of voting securities or other voting interests of Kreissparkasse Biberach; or (b) controls in any manner the election of a majority of the directors (or individuals exercising

similar functions) of Kreissparkasse Biberach. For purposes of this Certification, (i) person means any individual, bank, corporation, partnership, limited liability company or any other legal entity; (ii) voting securities or other voting interests means securities or other interests that entitle the holder to vote for or select directors (or individuals exercising similar functions); and (iii) members of the same family* shall be considered one person. Institution incorporated under public law. Sole owner (100%): *Kreis Biberach Landratsamt, Rollinstraße 88400 Biberach/Germany,*

*The same family means parent, spouses, children, siblings, uncles, aunts, grandparents, grandchildren, first cousins, stepchildren, stepsiblings, parents-in-law and spouses of any of the foregoing. In determining the ownership interest of the same family, any voting interest of any family member shall be taken into account.

E. Process Agent:

The following individual or entity: Corporation Service Company (CSC) is a resident of the United States at the following street address: 2711 Centerville Road, suite 400, Wilmington, DE 19808 Phone: 302-636-5400 / Fax: 302-636-5454 / E-mail: sopatcscinfo.com and is authorized to accept service of legal process on behalf of Kreissparkasse Biberach from the Secretary of the Treasury or the Attorney General of the United States pursuant to Section 5318(k) of title 31, United States Code.

F. General

Kreissparkasse Biberach hereby agrees to notify in writing each Covered Financial Institution at which it maintains any Correspondent Account of any change in facts or circumstances reported in this Certification. Notification shall be given within 30 calendar days of such change.

Kreissparkasse Biberach understands that each Covered Financial Institution at which it maintains a Correspondent Account may provide a copy of this Certification to the Secretary of the Treasury and the Attorney General of the United States. Kreissparkasse Biberach further understands that the statements contained in this Certification may be transmitted to one or more departments or agencies of the United States of America for the purpose of fulfilling such departments' and agencies' governmental functions.

I, Tobias Rommel, certify that I have read and understand this Certification, that the statements made in this Certification are complete and correct, and that I am authorized to execute this Certification on behalf of Kreissparkasse Biberach.

Kreissparkasse Biberach
Mr Tobias Rommel
Vice President
Head of International Banking Relations & Transaction Services
Zeppelinring 27-29
88400 Biberach/Germany

Biberach, Januar 2020